

	Measure in Code of Practice		Control in ISO 42001
Transparency	1.1	Drawing up and keeping up-to-date model documentation	A.4.5, A.6.2.7
	1.2	Providing relevant information	A.8.2, A.8.3, A.8.5
	1.3	Ensuring quality, integrity, and security of information	A.4.2
Copyright	1.1	Draw up, keep up-to-date and implement a copyright policy	A.7.2
	1.2	Reproduce and extract only lawfully accessible copyright-protected	A.7.3, A.7.5
	1.3	Identify and comply with rights reservations	A.7.4
	1.4	Mitigate the risk of copyright-infringing outputs	A.6.2.6
	1.5	Designate a point of contact and enable the lodging of complaints	A.3.3
Safety and Security	1.1	Creating the Framework	A.2.2, A.2.3, A.6.1.3
	1.2	Implementing the Framework	A.2.2, A.2.3, A.6.1.3
	1.3	Updating the Framework	A.2.2, A.2.3, A.2.4
	1.4	Framework notifications	A.2.2, A.2.3, A.6.1.3
	2.1	Systemic risk identification process	A.5.2, A.5.3
	2.2	Systemic risk scenarios	A.5.2, A.5.3
	3.1	Model-independent information	A.6.2.6
	3.2	Model evaluations	A.6.2.6
	3.3	Systemic risk modelling	A.5.2, A.5.3
	3.4	Systemic risk estimation	A.5.2, A.5.3
	3.5	Post-market monitoring	A.6.2.6
	4.1	Systemic risk acceptance criteria and acceptance determination	A.5.2, A.5.3
	4.2	Proceeding or not proceeding based on systemic risk acceptance determination	A.5.2, A.5.3
	5.1	Appropriate safety mitigations	A.5.2, A.5.3
	6.1	Security Goal	A.6.1.2
	6.2	Appropriate security mitigations	A.5.2, A.5.3
	7.1	Model description and behaviour	A.6.2.7
	7.2	Reasons for proceeding	A.5.2, A.5.3
	7.3	Documentation of systemic risk identification, analysis, and mitigation	A.5.2, A.5.3
	7.4	External reports	A.8.3, A.8.5
	7.5	Material changes to the systemic risk landscape	A.5.2, A.5.3
	7.6	Model Report updates	A.8.5
	7.7	Model Report notifications	A.8.5
	8.1	Definition of clear responsibilities	A.3.2, A.10.2
	8.2	Allocation of appropriate resources	A.4.2
	8.3	Promotion of a healthy risk culture	A.2.2
	9.1	Methods for serious incident identification	A.8.4
	9.2	Relevant information for serious incident tracking, documentation, and reporting	A.8.4
	9.3	Reporting timelines	A.8.4
	9.4	Retention period	A.8.4
	10.1	Additional documentation	A.6.2.7
	10.2	Public transparency	A.8.5